



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/871,353 | 05/30/2001 | Franco Cipriani | 13706 |

23676
SHELDON & MAK, INC
225 SOUTH LAKE AVENUE
9TH FLOOR
PASADENA, CA 91101



CONFIRMATION NO. 9560

FORMALITIES LETTER



OC00000006377867

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

A.T.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/23/2002 ANAB11 00000014 09871353

01 FC:205

65.00 OP

~~Receipt~~ \$
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

) Group Art Unit: to be assigned

) Examining Attorney: to be assigned

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) Pasadena, California

THE **NEW** **YORK** **PUBLIC** **LIBRARY**

ATTENTION: BOX MISSING PARTS

1. Two checks, one for \$65.00 to cover the late filing of a Declaration and the second for \$55.00 for a one month extension of time to respond, from October 2, 2001 to

55.00 OP

BY: ELAINE PORTER

Elaine Torrey

November 2, 2001 for a small entity;

2. A Combined Declaration and Power of Attorney from the Applicants;
3. A Request For Corrected Filing Receipt;
4. A Preliminary Amendment;
5. Formal Drawings; and
6. A copy of the Notice to File Missing Parts of Nonprovisional Application,

Filing Date Granted.

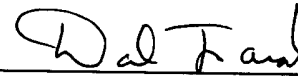
No other fee is believed due in connection with this communication. However, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK
a Professional Corporation

Date: November 2, 2001

By



David A. Farah, M.D.
Reg. No. 38,134

SHELDON & MAK
225 South Lake Avenue, Suite 900
Pasadena, California 91101
(626) 796-4000

09/871,353

13706



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: to be assigned
)
CIPRIANI, Franco et al.) Examining Attorney: to be assigned
)
Serial No.: 09/871,353)
)
Filed: May 30, 2001)
)
For: Functionalized Thiophene Oligomers)
and Their Use As Fluorescent)
Markers (as amended)) Pasadena, California

TRANSMITTAL OF FORMAL DRAWINGS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: OFFICIAL DRAFTSMAN

Enclosed are three sheets of formal drawings to replace the informal drawings originally filed in the above-identified application. Each sheet of drawing indicates the serial number on the reverse side of the drawing.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE
U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231 ON November 2, 2001

BY: ELAINE PORTER

Elaine Porter

No fee is believed due in connection with this communication. However, the Commissioner is hereby authorized to charge payment of any fees associated with this communication to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK
a Professional Corporation

Date: November 2, 2001

By



David A. Farah, M.D.
Reg. No. 38,134

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09/871,353



13706

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: to be assigned
)
CIPRIANI, Franco et al.) Examining Attorney: to be assigned
)
Serial No.: 09/871,353)
)
Filed: May 30, 2001)
)
For: Functionalized Thiophene Oligomers)
and Their Use As Fluorescent)
Markers (as amended)) Pasadena, California

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: BOX MISSING PARTS

Enclosed with this Request is a copy of the Filing Receipt for the above-identified United States patent application. Please note that Applicants are a small entity. Also enclosed with the Request is a Preliminary Amendment changing the title of this application

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE
U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231 ON November 2, 2001

BY: ELAINE PORTER

Elaine Porter

09/871,353

to "Functionalized Thiophene Oligomers and Their Use as Fluorescent Markers."

Please issue a Corrected Filing Receipt listing the Applicants as a small entity and with the title of the application as amended.

No fee is believed due in connection with this communication. However, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK
a Professional Corporation

Date: November 2, 2001

By David A. Farah
David A. Farah, M.D.
Reg. No. 38,134

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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/871,353 | 05/30/2001 | 1641 | 710 | 13706 | 3 | 13 | 1 |

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SHELDON & MAK, INC
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9TH FLOOR
PASADENA, CA 91101



CONFIRMATION NO. 9560

FILING RECEIPT



OC00000006377866

Date Mailed: 08/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Franco Cipriani, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

ITALY BA2000A000020 05/31/2000

If Required, Foreign Filing License Granted 08/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Fluorescent markers comprising functionalized thiophene oligomers

Preliminary Class

436

Data entry by : TESFAYE, ALEM

Team : OIPE

Date: 08/02/2001

[Faint, illegible handwritten text]

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231